2823

TRANSMITTAL C	OF INFORMATION	DISCLOSURE	STATEMENT
(Under 37 CFR 1.97(t	b) or 1.97(c))	

Docket No. SEC.506

ln	Re	App	lication	Of:
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Byoung-Taek LEE et al.

Serial No.	Filing Date	Examiner	Group Art Unit
09/276,803	26 March 1999	Neal Berezny	2823

Title: METHOD FOR MANUFACTURING CAPACITOR OF SEMICONDUCTOR DEVICE HAVING

DIELECTRIC LAYER OF HIGH DIELECTRIC CONSTANT



Address to:

Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450

37 CFR 1.97(b)

The Information Disclosure Statement submitted herewith is being filed within three months of the filing of a national application other than a continued prosecution application under 37 CFR 1.53(d); within three months of the date of entry of the national stage as set forth in 37 CFR 1.491 in an international application; before the mailing of a first Office Action on the merits, or before the mailing of a first Office Action after the filing of a request for continued examination under 37 CFR 1.114.

37 CFR 1.97(c)

- 2. A The Information Disclosure Statement submitted herewith is being filed after the period specified in 37 CFR 1.97(b), provided that the Information Disclosure Statement is filed before the mailing date of a Final Action under 37 CFR 1.113, a Notice of Allowance under 37 CFR 1.311, or an Action that otherwise closes prosecution in the application, and is accompanied by one of:
 - ☐ the statement specified in 37 CFR 1.97(e);

OR

- ★ The fee set forth in 37 CFR 1.17(p).
- 3. Also enclosed is a copy of an Official Action issued by the Patent Office of the People's Republic of China, wherein the enclosed references are attached.

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180.00 DP

TRANSMITTAL OF INFORMATION DISCLOSURE STATEMENT Docket No. (Under 37 CFR 1.97(b) or 1.97(c)) **SEC.506** In Re Application: Byoung-Taek LEE et al. Serial No. Filing Date Examiner **Group Art Unit** 09/276,803 26 March 1999 **Neal Berezny** 2823 METHOD FOR MANUFACTURING CAPACITOR OF SEMICONDUCTOR DEVICE HAVING DIELECTRIC LAYER OF HIGH DIELECTRIC CONSTANT Payment of Fee (Only complete if Applicant elects to pay the fee set forth in 37 CFR 1.17(p)) in the amount of \$180.00 is attached. The Director is hereby authorized to charge and credit Deposit Account No. 50-0238 as described below. Charge the amount of \boxtimes Credit any overpayment. Charge any additional fee required. Certificate of Transmission by Facsimile* Certificate of Mailing by First Class Mail I certify that this document and authorization to charge deposit I certify that this document and fee is being deposited account is being facsimile transmitted to the United States with the U.S. Postal Service on Patent and Trademark Office (F: as first class mail under 37 C.F.R. 1.8 and is addressed to the Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450. (Date) Signature Signature of Person Mailing Correspondence Typed or Printed Name of Person Signing Certificate Typed or Printed Name of Person Mailing Certificate *This certificate may only be used if paying by deposit account. Dated: 30 July 2003 ignature KENNETH D. SPRINGER REG. NO.: 39,843 VOLENTINE FRANCOS, PLLC 12200 SUNRISE VALLEY DRIVE, SUITE 150 RESTON, VA 20191 TEL. NO.: (703) 915-0870 CC:

Application Number Docket Number (Optional) 09/276,803 **SEC.506** Applicant(s) INFORMATION DISCLOSURE CITATION Byoung-Tack LEE et al. (Use several sheets if necessary) Group Art Unit Filing Date 2823 26 March 1999 U.S. PATENT DOCUMENTS FILING DATE EXAMINER CLASS SUBCLASS NAME DATE REF DOCUMENT NUMBER IF APPROPRIATE INITIAL 03/25/1997 Azuma et al. 5,614,018 Park et al. 06/08/1999 5,910,218 В 回 JUL 3 0 2000 FOREIGN PATENT DOCUMENTS Translation SUBCLASS COUNTRY CLASS DATE DOCUMENT NUMBER REF NO YES 06/10/1997 Japan JP9153491 C OTHER DOCUMENTS (Including Author, Title, Date, Pertinent Pages, Etc.) DATE CONSIDERED **EXAMINER** EXAMINER: Initial if citation considered, whether or not citation is in conformance with MPEP Section 609; Draw line through citation if not in conformance and

not considered. Include copy of this form with next communication to applicant.